IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

:

Maik KINDERMANN et al.

Mail Stop: PCT

Serial No. 10/529,651

Attorney Docket No. 2005-0427A

Filed March 31, 2005

SUBSTRATES FOR O⁶-ALKYLGUANINA-DNA ALKYLTRANSFERASE [Corresponding to PCT/EP2003/010889 Filed October 1, 2003]

SUBMISSION OF REFERENCES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEE FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975.

Sir:

Referring to the Information Disclosure Statement filed March 31, 2005, copies of the Damoiseaux et al. and Keppler et al. references cited in the International Search Report (also cited as the AO and AP references on the previously submitted PTO-1449 form) are enclosed herewith.

Respectfully submitted,

Maik KINDERMANN et al.

Michael R. Davis

Registration No. 25,134 Attorney for Applicants

MRD/pth Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 April 18, 2005

JC07 Rec'd PCT/PTO 18 APR 2005

FORM PTO 1390 (REV 5-93)

US DEPARTMENT OF COMMERCE PAT

TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371

U.S. APPLICATION NO.

TORNEY DOCKET NUMBER

U.S. APPLICATION NO (if known, see 37 CFR 1.5) 10/529,651

005-0427A

International Application No. PCT/EP2003/010889

International Filing Date October 1, 2003

Priority Date Claimed October 3, 2002

Title of Invention

SUBSTRATES FOR O⁶-ALKYLGUANINA-DNA ALKYLTRANSFERASE

Applicant(s) For DO/EO/US

Maik KINDERMANN, Kai JOHNSSON and Christoph BIERI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [] This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.
- 2. [X] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.
- 3. [] This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
- 4. [] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [] A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. [] is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [] has been transmitted by the International Bureau.
 - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [] A translation of the International Application into English (35 U.S.C. §371(c)(2)).
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
 - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [] have been transmitted by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [] have not been made and will not be made.
- 8. [] A translation of the amendments to the claims under PCT Article 19.
- 9. [] An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

- 11. [] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [] A FIRST preliminary amendment.
 - [] A SECOND or SUBSEQUENT preliminary amendment.
- 14. [X] Other items or information: Submission of References.

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEE FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975.

| U.S. APPLICATION NO. (6f known, see 37 CFR 1.5) 10/529,651 | | INTERNATIONAL APPLICATION NO. PCT/EP2003/010889 | | ATTORNEY'S DOCKET NO. 05-0427A | |
|--|--------------|--|------------|---|--------------|
| 15. [] The following fees are submitted | | | | CALCULATIONS | PTO USE ONLY |
| Basic National Stage Fee \$300.00 National Stage Search Fee \$500.00 National Stage Examination Fee \$200.00 Specification/drawings in excess of 100 pages (inits of 50 x \$250.00) = \$ | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | \$ | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | |
| Claims | Number Filed | Number Extra | Rate | | |
| Total Claims | -20 = | | X \$50.00 | \$ | |
| Independent Claims | - 3 = | | X \$200.00 | \$ | |
| Multiple dependent claim(s) (if applicable) + \$360.00 | | | | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ | |
| [] Small Entity Status is hereby asserted. Above fees are reduced by 1/2. | | | | s | |
| SUBTOTAL = | | | | s | |
| Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$ | |
| | | | | Amount to be refunded | s |
| | | | | Amount to be charged | s |
| a. [] A check in the amount of \$to cover the above fees is enclosed. A duplicate copy of this form is enclosed. b. [] Please charge my Deposit Account No. 23-0975 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | |
| c. [] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0975</u> . | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| 19. CORRESPONDENCE ADDRESS | | | | | |
| 111 | | | | hael R. Davis , | |
| CUSTOMER NO. | | | | ration No. 25,134 | |
| · | | | | , LIND & PONACK, L.L.P. treet, N.W., Suite 800 | |
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| | | | | pril 18, 2005 | |

[CHECK NO. [2005-0427A]